



**Disclosure of investor complaints Equity by KMS Stock Broking Company Private Limited      Annexure B**

**Investor Complaints Statics**

**Data for the Month Ending – NOVEMBER 2025**

**Data for every month ending - NOVEMBER- 2025**

| S<br>N | Received from           | Carried forward from<br>previous month | Received<br>during the<br>month | Total<br>Pending | Resolved* | Pending at the end of the month** |                                   | Average Resolution on time (in<br>days)^ |
|--------|-------------------------|--|---------------------------------|------------------|-----------|-----------------------------------|-----------------------------------|--|
|        |                         |  |                                 |                  |           | Pending for less<br>than 3 months | Pending for more<br>than 3 months |  |
| 1      | Directly from investors | 0                                      | 0                               | 0                | 0         | 0                                 | 0                                 | 0  |
| 2      | SEBI (SCORES)           | 0                                      | 0                               | 0                | 0         | 0                                 | 0                                 | 0  |
| 3      | Stock Exchanges         | 0                                      | 0                               | 0                | 0         | 0                                 | 0                                 | 0  |
| 4      | Other Sources (if any)  | 0                                      | 0                               | 0                | 0         | 0                                 | 0                                 | 0  |
| 5      | <b>Grand Total</b>      | <b>0</b>                               | <b>0</b>                        | <b>0</b>         | <b>0</b>  | <b>0</b>                          | <b>0</b>                          | ...                                      |

"Average resolution time is the sum total of time taken to resolve each complaint in the current month  
divided by total number of complaints resolved in the current month.

# **Trend of monthly disposal of complaints**

| S N | Month    | Carried forward from | Received | Resolved | Pending |
|-----|----------|----------------------|----------|----------|---------|
| 1   | Apr-2021 | 0                    | 0        | 0        | 0       |
| 2   | May-2021 | 0                    | 0        | 0        | 0       |
| 3   | Jun-2021 | 0                    | 0        | 0        | 0       |
| 4   | Jul-2021 | 0                    | 0        | 0        | 0       |
| 5   | Aug-2021 | 0                    | 0        | 0        | 0       |
| 6   | Sep-2021 | 0                    | 0        | 0        | 0       |
| 7   | Oct-2021 | 0                    | 0        | 0        | 0       |
| 8   | Nov-2021 | 0                    | 0        | 0        | 0       |
| 9   | Dec-2021 | 0                    | 0        | 0        | 0       |
| 10  | Jan-2022 | 0                    | 0        | 0        | 0       |
| 11  | Feb-2022 | 0                    | 0        | 0        | 0       |
| 12  | Mar-2022 | 0                    | 0        | 0        | 0       |
| 13  | Apr-2022 | 0                    | 0        | 0        | 0       |
| 14  | May-2022 | 0                    | 0        | 0        | 0       |
| 15  | Jun-2022 | 0                    | 0        | 0        | 0       |
| 16  | Jul-2022 | 0                    | 0        | 0        | 0       |
| 17  | Aug-2022 | 0                    | 0        | 0        | 0       |
| 18  | Sep-2022 | 0                    | 0        | 0        | 0       |
| 19  | Oct-2022 | 0                    | 0        | 0        | 0       |
| 20  | Nov-2022 | 0                    | 0        | 0        | 0       |



|    |          |   |   |   |   |
|----|----------|---|---|---|---|
| 21 | Dec-2022 | 0 | 0 | 0 | 0 |
| 22 | Jan-2023 | 0 | 0 | 0 | 0 |
| 23 | Feb-2023 | 0 | 0 | 0 | 0 |
| 24 | Mar-2023 | 0 | 0 | 0 | 0 |
| 25 | Apr-2023 | 0 | 0 | 0 | 0 |
| 26 | May-2023 | 0 | 0 | 0 | 0 |
| 27 | Jun-2023 | 0 | 0 | 0 | 0 |
| 28 | Jul-2023 | 0 | 0 | 0 | 0 |
| 29 | Aug-2023 | 0 | 0 | 0 | 0 |
| 30 | Sep-2023 | 0 | 0 | 0 | 0 |
| 31 | Oct-2023 | 0 | 0 | 0 | 0 |
| 32 | Nov-2023 | 0 | 0 | 0 | 0 |
| 33 | Dec-2023 | 0 | 0 | 0 | 0 |
| 34 | Jan-2024 | 0 | 0 | 0 | 0 |
| 35 | Feb-2024 | 0 | 1 | 1 | 0 |
| 36 | Mar-2024 | 0 | 0 | 0 | 0 |
| 37 | Apr-2024 | 0 | 0 | 0 | 0 |
| 38 | May-2024 | 0 | 0 | 0 | 0 |
| 39 | Jun-2024 | 0 | 0 | 0 | 0 |
| 40 | Jul-2024 | 0 | 0 | 0 | 0 |
| 41 | Aug-2024 | 0 | 0 | 0 | 0 |
| 42 | Sep-2024 | 0 | 0 | 0 | 0 |
| 43 | Oct-2024 | 0 | 0 | 0 | 0 |

To Be Continued...



|    |                    |          |          |          |          |
|----|--------------------|----------|----------|----------|----------|
| 44 | Nov-2024           | 0        | 0        | 0        | 0        |
| 45 | Dec-2024           | 0        | 0        | 0        | 0        |
| 46 | Jan-2025           | 0        | 0        | 0        | 0        |
| 47 | Feb-2025           | 0        | 0        | 0        | 0        |
| 48 | Mar-2025           | 0        | 0        | 0        | 0        |
| 49 | Apr-2025           | 0        | 0        | 0        | 0        |
| 50 | May-2025           | 0        | 0        | 0        | 0        |
| 51 | Jun-2025           | 0        | 0        | 0        | 0        |
| 52 | Jul-2025           | 0        | 0        | 0        | 0        |
| 53 | Aug-2025           | 0        | 0        | 0        | 0        |
| 54 | Sep-2025           | 0        | 0        | 0        | 0        |
| 55 | Oct-2025           | 0        | 0        | 0        | 0        |
| 55 | Nov-2025           | 0        | 0        | 0        | 0        |
|    | <b>Grand Total</b> | <b>0</b> | <b>1</b> | <b>1</b> | <b>0</b> |

**\*Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.**



**Trend of annual disposal of complaints**

| S N | Year                 | Carried forward from | Received | Resolved | Pending at |
|-----|----------------------|----------------------|----------|----------|------------|
| 1   | 2017-18              | 0                    | 0        | 0        | 0          |
| 2   | 2018-19              | 0                    | 0        | 0        | 0          |
| 3   | 2019-20              | 0                    | 0        | 0        | 0          |
| 4   | 2020-21              | 0                    | 0        | 0        | 0          |
| 5   | 2021-22              | 0                    | 0        | 0        | 0          |
| 6   | 2022-23              | 0                    | 0        | 0        | 0          |
| 7   | 2023-24              | 0                    | 1        | 1        | 0          |
| 8   | 2024-25              | 0                    | 0        | 0        | 0          |
| 9   | 2025-26 ( on going ) | 0                    | 0        | 0        | 0          |
|     | <b>Grand Total</b>   | 0                    | 1        | 1        | 0          |

**\*Inclusive of complaints of previous years resolved in the current year. #Inclusive of complaints pending as on the last day of the year.**