## Disclosure of investor complaints by KMS Stock Broking Company Private Limited Depository Participant of CDSL (DPID: 57500)



Data for every month ending - APRIL-2025

| S<br>N | Received from              | Carried forward from<br>previous<br>month | Received<br>during the<br>month | Total<br>Pending | Resolved* | Pending at the end o              | of the month**                    | Average Resolution on time (in days)^ |
|--------|----------------------------|---|---------------------------------|------------------|-----------|-----------------------------------|-----------------------------------|---------------------------------------|
|        |                            |   |                                 |                  |           | Pending for less<br>than 3 months | Pending for more<br>than 3 months |                                       |
| 1      | 2                          | 3   | 4                               | 5                | 6         | 7                                 |                                   | 8                                     |
| 1      | Directly from investors    | 0   | 0                               | 0                | 0         | 0                                 | 0                                 | 0                                     |
| 2      | SEBI (SCORES)              | 0   | 0                               | 0                | 0         | 0                                 | 0                                 | 0                                     |
| 3      | Stock Exchanges/DEPOSITORY | 0   | 0                               | 0                | 0         | 0                                 | 0                                 | 0                                     |
| 4      | Other Sources (if any)     | 0   | 0                               | 0                | 0         | 0                                 | 0                                 | 0                                     |
| 5      | Grand Total                | 0   | 0                               | 0                | 0         | 0                                 | 0                                 |                                       |

Annexure C

Trend of monthly disposal of complaints

| S N | Month    | Carried forward from | Received | Resolved | Pending |
|-----|----------|----------------------|----------|----------|---------|
| 1   | 2        | 3                    | 4        | 5        | 6       |
| 1   | Apr-2021 | 0                    | 0        | 0        | 0       |
| 2   | May-2021 | 0                    | 0        | 0        | 0       |
| 3   | Jun-2021 | 0                    | 0        | 0        | 0       |
| 4   | Jul-2021 | 0                    | 0        | 0        | 0       |
| 5   | Aug-2021 | 0                    | 1        | 1        | 0       |
| 6   | Sep-2021 | 0                    | 0        | 0        | 0       |
| 7   | Oct-2021 | 0                    | 0        | 0        | 0       |
| 8   | Nov-2021 | 0                    | 0        | 0        | 0       |
| 9   | Dec-2021 | 0                    | 0        | 0        | 0       |
| 10  | Jan-2022 | 0                    | 0        | 0        | 0       |
| 11  | Feb-2022 | 0                    | 0        | 0        | 0       |
| 12  | Mar-2022 | 0                    | 0        | 0        | 0       |
| 13  | Apr-2022 | 0                    | 0        | 0        | 0       |
| 14  | May-2022 | 0                    | 0        | 0        | 0       |
| 15  | Jun-2022 | 0                    | 3        | 3        | 0       |
| 16  | Jul-2022 | 0                    | 1        | 1        | 0       |
| 17  | Aug-2022 | 0                    | 0        | 0        | 0       |
| 18  | Sep-2022 | 0                    | 0        | 0        | 0       |
| 19  | Oct-2022 | 0                    | 0        | 0        | 0       |
| 20  | Nov-2022 | 0                    | 0        | 0        | 0       |
| 21  | Dec-2022 | 0                    | 0        | 0        | 0       |
| 22  | Jan-2023 | 0                    | 0        | 0        | 0       |
| 23  | Feb-2023 | 0                    | 0        | 0        | 0       |
| 24  | Mar-2023 | 0                    | 0        | 0        | 0       |
| 25  | Apr-2023 | 0                    | 0        | 0        | 0       |
| 26  | May-2023 | 0                    | 0        | 0        | 0       |
| 27  | Jun-2023 | 0                    | 0        | 0        | 0       |
| 28  | Jul-2023 | 0                    | 0        | 0        | 0       |



| 29 | Aug-2023    | 0 | 0 | 0 | 0 |
|----|-------------|---|---|---|---|
| 30 | Sep-2023    | 0 | 0 | 0 | 0 |
| 31 | Oct-2023    | 0 | 0 | 0 | 0 |
| 32 | Nov-2023    | 0 | 0 | 0 | 0 |
| 33 | Dec-2023    | 0 | 0 | 0 | 0 |
| 34 | Jan-2024    | 0 | 2 | 1 | 0 |
| 35 | Feb-2024    | 1 | 0 | 1 | 0 |
| 36 | Mar-2024    | 0 | 0 | 0 | 0 |
| 37 | Apr-2024    | 0 | 0 | 0 | 0 |
| 38 | May-2024    | 0 | 0 | 0 | 0 |
| 39 | Jun-2024    | 0 | 0 | 0 | 0 |
| 40 | Jul-2024    | 0 | 0 | 0 | 0 |
| 41 | Aug-2024    | 0 | 0 | 0 | 0 |
| 42 | Sep-2024    | 0 | 0 | 0 | 0 |
| 43 | Oct-2024    | 0 | 0 | 0 | 0 |
| 44 | Nov-2024    | 0 | 0 | 0 | 0 |
| 45 | Dec-2024    | 0 | 0 | 0 | 0 |
| 46 | Jan-2025    | 0 | 0 | 0 | 0 |
| 47 | Feb-2025    | 0 | 0 | 0 | 0 |
| 48 | Mar-2025    | 0 | 0 | 0 | 0 |
| 49 | Apr-2025    | 0 | 0 | 0 | 0 |
|    | Grand Total | 0 | 7 | 7 | 0 |

\*Should include complaints of previous months resolved in the current month, if any.

\*\* Should include total complaints pending as on the last day of the month, if any

^Average resolution time is the sum total of time taken to resolve each complaint in the

current month divided by total number of complaints resolved in the current month.





## Trend of annual disposal of complaints

| S N | Year                 | Carried forward from<br>previous<br>year | Received<br>during the<br>year | Resolved<br>during<br>the year | Pending at<br>the end of<br>the year |
|-----|----------------------|--|--------------------------------|--------------------------------|--------------------------------------|
| 1   | 2017-18              | 0  | 0                              | 0                              | 0                                    |
| 2   | 2018-19              | 0  | 0                              | 0                              | 0                                    |
| 3   | 2019-20              | 0  | 0                              | 0                              | 0                                    |
| 4   | 2020-21              | 0  | 0                              | 0                              | 0                                    |
| 5   | 2021-22              | 0  | 1                              | 1                              | 0                                    |
| 6   | 2022-23              | 0  | 4                              | 4                              | 0                                    |
| 7   | 2023-24              | 0  | 2                              | 2                              | 0                                    |
| 8   | 2024-25 ( on going ) | 0  | 0                              | 0                              | 0                                    |
|     | Grand Total          | 0  | 7                              | 7                              | 0                                    |

\*Inclusive of complaints of previous years resolved in the current year. #Inclusive of complaints pending as on the last day of the year.