## Disclosure of investor complaints by KMS Stock Broking Company Private Limited Depository Participant of CDSL (DPID: 57500)

## **Annexure C**



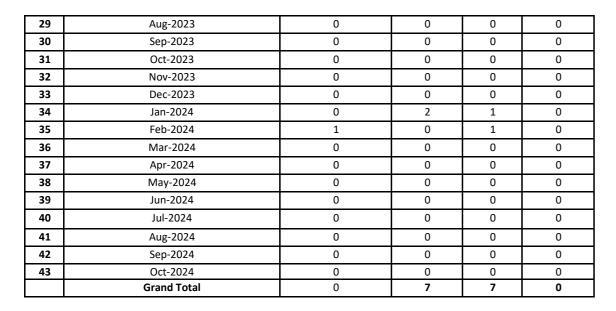
Data for every month ending - OCTOBER - 2024

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end	of the month**	Average Resolution on time (in days)^
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges/DEPOSITORY	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	

## Trend of monthly disposal of complaints

S N	Month	Carried forward from	Received	Resolved	Pending
1	2	3	4	5	6
1	Apr-2021	0	0	0	0
2	May-2021	0	0	0	0
3	Jun-2021	0	0	0	0
4	Jul-2021	0	0	0	0
5	Aug-2021	0	1	1	0
6	Sep-2021	0	0	0	0
7	Oct-2021	0	0	0	0
8	Nov-2021	0	0	0	0
9	Dec-2021	0	0	0	0
10	Jan-2022	0	0	0	0
11	Feb-2022	0	0	0	0
12	Mar-2022	0	0	0	0
13	Apr-2022	0	0	0	0
14	May-2022	0	0	0	0
15	Jun-2022	0	3	3	0
16	Jul-2022	0	1	1	0
17	Aug-2022	0	0	0	0
18	Sep-2022	0	0	0	0
19	Oct-2022	0	0	0	0
20	Nov-2022	0	0	0	0
21	Dec-2022	0	0	0	0
22	Jan-2023	0	0	0	0
23	Feb-2023	0	0	0	0
24	Mar-2023	0	0	0	0
25	Apr-2023	0	0	0	0
26	May-2023	0	0	0	0
27	Jun-2023	0	0	0	0
28	Jul-2023	0	0	0	0







<sup>\*\*</sup> Should include total complaints pending as on the last day of the month, if any

 $\label{prop:current} \mbox{ month divided by total number of complaints resolved in the current month.}$ 



<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the

## Trend of annual disposal of complaints

S N	Year	Carried forward from previous year	during the	during	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	1	1	0
6	2022-23	0	4	4	0
7	2023-24 (on going)	0	2	2	0
	Grand Total	0	7	7	0

