Disclosure of investor complaints by KMS Stock Broking Company Private Limited **Annexure C**

Depository Participant of CDSL (DPID: 57500)

Data for every month ending - OCTOBER-2026

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution on time (in days)^
						Pending for less than 3 months	Pending for more than 3 months	
1	*2*	*3*	*4*	*5*	*6*	*7*		*8*
1	Directly from investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges/DEPOSITORY	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	**Grand Total**	**0**	**0**	**0**	**0**	**0**	**0**	**...**

To Be Continued...

Trend of monthly disposal of complaints

S N	Month	Carried forward from	Received	Resolved	Pending
1	**2**	**3**	**4**	**5**	**6**
1	**Apr-2021**	0	0	0	0
2	**May-2021**	0	0	0	0
3	**Jun-2021**	0	0	0	0
4	**Jul-2021**	0	0	0	0
5	**Aug-2021**	0	1	1	0
6	**Sep-2021**	0	0	0	0
7	**Oct-2021**	0	0	0	0
8	**Nov-2021**	0	0	0	0
9	**Dec-2021**	0	0	0	0
10	**Jan-2022**	0	0	0	0
11	**Feb-2022**	0	0	0	0
12	**Mar-2022**	0	0	0	0
13	**Apr-2022**	0	0	0	0
14	**May-2022**	0	0	0	0
15	**Jun-2022**	0	3	3	0
16	**Jul-2022**	0	1	1	0
17	**Aug-2022**	0	0	0	0
18	**Sep-2022**	0	0	0	0
19	**Oct-2022**	0	0	0	0
20	**Nov-2022**	0	0	0	0
21	**Dec-2022**	0	0	0	0
22	**Jan-2023**	0	0	0	0
23	**Feb-2023**	0	0	0	0
24	**Mar-2023**	0	0	0	0
25	**Apr-2023**	0	0	0	0
26	**May-2023**	0	0	0	0

To Be Continued...

27	**Jun-2023**	0	0	0	0
28	**Jul-2023**	0	0	0	0
29	**Aug-2023**	0	0	0	0
30	**Sep-2023**	0	0	0	0
31	**Oct-2023**	0	0	0	0
32	**Nov-2023**	0	0	0	0
33	**Dec-2023**	0	0	0	0
34	**Jan-2024**	0	2	1	0
35	**Feb-2024**	1	0	1	0
36	**Mar-2024**	0	0	0	0
37	**Apr-2024**	0	0	0	0
38	**May-2024**	0	0	0	0
39	**Jun-2024**	0	0	0	0
40	**Jul-2024**	0	0	0	0
41	**Aug-2024**	0	0	0	0
42	**Sep-2024**	0	0	0	0
43	**Oct-2024**	0	0	0	0
44	**Nov-2024**	0	0	0	0
45	**Dec-2024**	0	0	0	0
46	**Jan-2025**	0	0	0	0
47	**Feb-2025**	0	0	0	0
48	**Mar-2025**	0	0	0	0
49	**Apr-2025**	0	0	0	0
50	**May-2025**	0	0	0	0
51	**Jun-2025**	0	0	0	0
52	**Jul-2025**	0	0	0	0
53	**Aug-2025**	0	0	0	0
54	**Sep-2025**	0	0	0	0
55	**Oct-2025**	0	0	0	0

To Be Continued...

56	**Nov-2025**	0	0	0	0
57	**Dec-2025**	0	0	0	0
58	**Jan-2026**	0	0	0	0
59	**Feb-2026**	0	0	0	0
60	**Mar-2026**	0	0	0	0
61	**Apr-2026**	0	0	0	0
62	**May-2026**	0	0	0	0
63	**Jun-2026**	0	0	0	0
64	**Jul-2026**	0	0	0	0
65	**Aug-2026**	0	0	0	0
66	**Sep-2026**	0	0	0	0
67	**Oct-2026**	0	0	0	0
	Grand Total	**0**	**7**	**7**	**0**

*Should include complaints of previous months resolved in the current month, if any.

** Should include total complaints pending as on the last day of the month, if any

^Average resolution time is the sum total of time taken to resolve each complaint in the

current month divided by total number of complaints resolved in the current month.

To Be Continued...

Trend of annual disposal of complaints

S N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	1	1	0
6	2022-23	0	4	4	0
7	2023-24	0	2	2	0
8	2024-25	0	0	0	0
9	2025-26	0	0	0	0
10	2026-27 (on going)	0	0	0	0
	Grand Total	**0**	**7**	**7**	**0**

***Inclusive of complaints of previous years resolved in the current year. #Inclusive of complaints pending as on the last day of the year.**